

**Lyuba Zarsky**  
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## **Education**

Doctor of Philosophy, Economics (2006)  
University of Massachusetts, Amherst  
Fields: International Economics, Development Economics

Master of Arts, Economics/Political Economy (1985)  
New School for Social Research, New York

Bachelor of Arts, Social Psychology (1975)  
University Without Walls Berkeley

## **Professional Experience**

**Senior Research Fellow**  
**Global Development and Environment Institute (GDAE)**  
**Fletcher School of Law and Diplomacy, Tufts University, Boston (2002- )**

GDAE is committed to research and education on environmentally and socially sustainable development paths. As Senior Research Fellow in the Globalization and Sustainability Program, I design and undertake collaborative research projects focused on the interface between the global private investment and local sustainable development. My most recent book is a case study of investment by US high tech companies in Mexico.

**Director, Research and Development,**  
**Business for Social Responsibility, San Francisco (October, 2005-April, 2006)**

Business for Social Responsibility is an NGO with over 250 global business members. As the first Director of Research and Development, I provided advice on philanthropic and corporate support for policies in support of global corporate social responsibility, including on poverty alleviation and economic development.

**Co-Founder and Co-Director**  
**Nautilus Institute for Security and Sustainability, Berkeley (1992-2001)**

The Nautilus Institute is a non-profit, policy-oriented research and education organization focused on global problems at the intersection of security and sustainability. As Co-Director, I led the Globalization and Governance Program which aimed to integrate environmental ethics and human rights into the governance of the global economy. I designed and led five collaborative international strategic research and education projects, all of which generated publications and contributed to new policy and/or advocacy initiatives by government,

business, or NGOs. The projects were: *Human Rights and the Environment*., *Cooperative Approaches to Trade and Environment in Asia-Pacific*; *Environmental Cooperation in Northeast Asia*; *California Global Corporate Accountability*; and *Sustainable and Ethical International Investment Rules*.

### **Policy Analyst/Consultant (1991- )**

I have consulted to a variety of international organizations on policy research related to globalisation, corporate social responsibility, and sustainable development, including:

- *OECD Environment Directorate*:
  - Implementing OECD Environment Guidelines for MNEs
  - Foreign Direct Investment and Environment
  - Globalisation and Environment
  - Eco-Labels and Trade Rules
- *US-Asia Environment Partnership, Washington D.C.*
  - Civil Society and Environmental Governance in Southeast Asia
  - Strategic Evaluation of the US-AEP Program
- *Asian Development Bank, Manila*
  - Civil Society and Environmental Governance in Asia
  - Financing for Sustainable Development
- *Earth Council, Costa Rica*
  - Human Rights and the Environment
- *Government of Australia, Environment Department, Canberra*
  - Trade-Environment Linkages and Sustainable Development
- *UN Development Program, New York*
  - Regional Environmental Cooperation in Northeast Asia
- *Worldwide Fund for Nature*
  - Foreign Direct Investment and Sustainable Development (UK)
  - Trade and Environment Policy (Australia)
- *Sacred Lands Film Project, San Francisco*
  - Corporate Social Responsibility for the Protection of Native American Sacred Sites

### **Staff Economist and Consultant**

#### **Commission for the Future, Government of Australia (1989-91)**

As Staff Economist, I directed the Sustainable Development program and produced *Sustainable Development: Challenges for Australia*, a framework for policy action developed in collaboration with Australia's largest mining, trade union, environment, and farmer organizations. As a consultant, I organized four national-level stakeholder dialogues to resolve resource conflicts involving business, NGO and government leaders.

### **Research Fellow**

#### **Center for Peace and Conflict Studies, University of Sydney (1988-89)**

As part of my PhD dissertation, I researched the determinants of the international allocation of private bank loans and developed a theoretical framework showing the centrality to bank lending decisions of probabilistic expectations about the likelihood of government bail-out in case of default.

## **Teaching Experience**

**Associate Professor, International Environmental Policy Program, Graduate School of International Policy Studies, Monterey Institute of International Studies (Fall, 2007-- )**

Business, Development and the Environment  
Global Environmental Politics  
Trade, Investment and Sustainable Development  
Policy Analysis

**Adjunct Professor, Graduate School of International Studies, Monterey Institute of International Studies (Spring, 2007).**

Global Environmental Politics  
Development Economics

**Lecturer, Center for Defence and Strategic Studies Program, Deakin University (2004-2005)**

I gave lectures to mid-level military officers from countries in the Asia-Pacific region on globalization, global economic institutions, and topics in sustainable development.

**Director, Globalization and Governance Program, Nautilus Institute (1992-2001)**

As Program Director, I designed and facilitated 2-10 day educational workshops for business, NGO and government leaders aimed at developing the intellectual foundation for policy initiatives. Major workshops were:

“Ethics, Security and International Investment: New Rules for a New Global Order?” hosted by the Rockefeller Brothers Fund, Pocantico Conference Center, New York, December, 2001.

“What Road Ahead? Scenarios for the Future of US-China Relations, 2001-2010,” hosted by the Center for American Studies, Fudan University, Beijing, June and November, 2000.

“Hard Issues, Innovative Approaches: Improving NGO-Industry Dialogue on Corporate Social Responsibility and Accountability,” hosted by the Stanford University Law School, October 19, 1999.

“Trade Policy and Sustainability: Regional Approaches,” hosted by the International Centre for Trade and Sustainable Development,” Geneva, February 1-2, 1999.

“Trade and Environment in Asia-Pacific: Towards a Cooperative Approach,” hosted by the East West Center, Honolulu, September, 1994.

**Teaching Assistant  
Department of Economics, University of Massachusetts (1983-88)**

I was a teaching assistant for undergraduate level classes in Micro-Economics, Macro-Economics and Political Economy. I designed and gave lectures to classes of about 35 students and marked their essays and exams.

**Associate, Center for Popular Economics (1985-89)**

The Center builds economic literacy among social justice advocates and the general public. As Associate, I designed a curriculum on the International Economy; co-taught a week-long “How Does the Economy Work?” training for Canadian labor union leaders; and gave numerous public lectures on the international economy and global business to community and university groups.

**Research Grants**

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|-------------------|---|
| 10/2000 – 09/2002 | Ford Foundation, <i>Sustainable and Ethical International Investment Rules</i> (\$150,000)  |
| 10/2000 - 09/2001 | Rockefeller Brothers Fund, <i>Strategic Partnerships for Environmental Governance After the Asian Financial Crisis</i> (\$90,000) |
| 03/1999 – 02/2001 | Rockefeller Foundation, <i>Sustainable and Ethical International Investment Rules</i> (\$300,000)                                 |
| 02/1999 - 01/2001 | Ford Foundation, <i>Strategic Partnerships for Environmental Governance After the Asian Financial Crisis</i> (\$300,000)          |
| 07/1998 – 06/2000 | Wallace Global Fund, <i>Sustainable and Ethical International Investment Rules</i> (\$150,000)                                    |
| 06/1998 – 05/2000 | Ford Foundation, <i>California Global Corporate Accountability Project</i> (\$100,000)  |
| 02/1998 – 07/1999 | MacArthur Foundation, <i>California Global Corporate Accountability Project</i> (\$100,000)                                       |
| 07/1997 – 06/1999 | Richard and Rhoda Goldman Fund, <i>California Global Corporate Accountability Project</i> (\$250,000)                             |
| 10/1996 – 9/1998  | Earth Council, <i>Human Rights and the Environment</i> (\$80,000)   |
| 9/1996 – 8/1998   | Rockefeller Brothers Fund, <i>Asia Pacific Regional Environment Network</i> (\$150,000)   |
| 10/1994 – 09/1996 | Ford Foundation, <i>Trade and Environment: An Agenda for APEC</i> (\$150,000)   |
| 08/1994 – 06/1995 | Asian Development Bank, <i>Financing for Sustainable Development</i> (\$10,000)   |
| 09/1992 – 08/1994 | Ford Foundation: <i>Regional Cooperation on Trade and Environment in Asia Pacific</i> (\$90,000)                                  |

## **Other Experience and Affiliations**

International Research Fellow, International Institute for Environment and Development, London UK

Board Member, Occupational Knowledge International, San Francisco, California

Board Member, International Relations Center, Silver City, New Mexico

Member, Multi-State Working Group on Environmental Performance, USA

Reviewer, Sustainability and Globalisation Series, Earthscan Press, London, UK

Member, Trade and Environment Policy Advisory Committee, Office of the U.S. Trade Representative (1994-2001)

Member, U.S. Delegation, APEC Sustainable Development Ministerial, 1996, 1997

Training, Conflict Resolution, Johann Galtung, Transcend Network

Certificate, Using Scenarios in the Public Sector, Global Business Network

Training, Grantwriting, San Francisco Management Center

Frequent public speaker and commenter on radio and television

Lived and/or traveled in the United States, Australia, Asia, Europe, and Latin America

## **Publications**

### **Books and Edited Books**

**Enclave Economy: Foreign Investment and Sustainable Development in Mexico's Silicon Valley** (co-author), Boston: MIT Press, 2007.

**International Investment for Sustainable Development: Balancing Rights and Rewards** (contributing editor), London: Earthscan Press, 2005..

**Human Rights and the Environment: Conflicts and Norms in a Globalizing World** (contributing editor) London: Earthscan Press, 2002.

**Beyond Good Deeds: Case Studies and A New Policy Agenda for Corporate Accountability** (co-author), Berkeley: Natural Heritage Institute, July 2002.

### **Chapters in Books**

"No Miracle Drug: Foreign Direct Investment and Sustainable Development" (co-author), in L. Zarsky (ed.), *International Investment for Sustainable Development: Balancing Rights and Rewards*, London: Earthscan Press, 2005.

"Stuck in the Mud? Nation-States, Globalisation and the Environment," in OECD, *Globalisation and the Environment*, Paris: OECD, 1997. Reprinted in K. Gallagher and J. Werksman (eds.), *Earthscan Reader on International Trade and Sustainable Development*, London: Earthscan Press, 2002, pp. 19-44; and in K. Conca and G. Dabelko, *Green Planet Blues, Environmental Politics from Stockholm to Johannesburg*, Westview Press, 2004

"APEC and the 'Sustainable Development' Agenda,' in R. Steinberg (ed.), *The Greening of Trade Law*, Rowman and Littlefield, 2002.

"Global Reach: Human Rights and Environment in the Framework of Corporate Accountability," in L. Zarsky (ed.), *Human Rights and Environment: Conflicts and Norms in a Globalizing World*, London: Earthscan Press, 2002, pp. 31-56.

"Civil Society and the Future of Environmental Governance in Asia," (co-author), in D. Angel and M. Rock, (eds.), *Asia's Clean Revolution: Industry, Growth and the Environment*, Greenleaf Publishing, 2001, pp.128-154.

"From Bystanders to Collaborators, New Roles for Civil Society in Urban-Industrial Environmental Governance," in Asian Development Bank, *Asian Environment Outlook*, Manila: ADB, 2001.

"Environmental Norms in the Asia-Pacific Economic Cooperation Forum," in D. Shelton (ed.), *Commitment and Compliance, The Role of Non-Binding Norms in the International Legal System*, New York: Oxford University Press, 2000, pp. 303-329.

"Havens, Halos, and Spaghetti: Untangling the Evidence About FDI and the Environment," in OECD, *Foreign Direct Investment and the Environment*, Paris: OECD, 1999, pp. 47-74.

"Energy and the Environment in Asia-Pacific," in P. Chasek, (ed.), *The Global Environment in the 21<sup>st</sup> Century*, Tokyo: United Nations University Press, 1999.

"Lessons of Liberalization in Asia: From Structural Adjustment to Sustainable Development," in *Financing for Environmentally Sustainable Development*, Asian Development Bank: Manila, 1994.

"Towards an International Eco-Labeling Framework," in OECD, *Life Cycle Management and Trade*, Paris: OECD, 1994, pp. 194-204.

"Sustainable Development: Challenges for Australia," in *Our Common Future, Australian Edition*, Melbourne: Oxford University Press, 1990. Also published as a monograph by the Commission for the Future, Melbourne, February, 1990.

### **Journal Articles and Conference Papers**

"From Regulatory Chill to Deepfreeze?" *International Environmental Agreements: Politics, Law and Economics*, November, 2006.

"Whither Globalization? The Beleaguered Promise of Foreign Investment for Sustainable Development," *International Journal of Environmental, Cultural, Economic and Social Sustainability*, November, 2005.

"Sustainable Industrial Development? The Performance of Mexico's FDI-Led Integration Strategy" (co-author), paper to Conference on New Directions for Sustainable Development in Mexico, Science, Technology and Development Program, El Colegio de Mexico, October 20-21, 2003. Also published as a Working Paper of the Global Development and Environment Institute, <http://www.ase.tufts.edu/gdae>.

"Beyond Good Deeds: Strengthening Corporate Social Responsibility with Public Policy," *Forum Magazine* (UK), July, 2002.

"Getting Traction? Sustainable Development and the Governance of Investment," paper for conference on *Sustainability, Trade and Investment: Which Way Now for the WTO?* Royal Institute of International Affairs, London, October, 2000.

"The Asia-Pacific Environmental Cooperation Forum and the Environment: Regional Governance in the Age of Globalization," in *Colorado Journal for International Environmental Law and Policy*, 8 (summer) 1997.

"Environmental Cooperation at APEC: The First Five Years," (co-author), *Journal of Environment and Development*, September, 1997.

"The Prospects for Environmental Cooperation in Northeast Asia," *Asian Perspective*, Vol. 19, No. 2, Fall/Winter, 1995, pp. 103-130.

"Acid Rain in a Regional Context," paper to a conference on The Role of Science and Technology in Promoting Environmentally Sound Development, Science and Technology Policy Institute and UN University, Seoul, June 13-15, 1995.

"Environmental Issues and Regimes in Northeast Asia," (co-author) *International Environmental Affairs*, Vol 6 No 4 , Fall, 1994.

"NAFTA's Repercussions: Is Green Trade Possible?" *Environment*, No 36:2, March, 1994, pp.2-3.

"Jobs Benefits of Expanding Investment in Solar Energy" (co-author), *Solar Industry Journal*, Vol 3 Issue 4, Fourth Quarter, 1994.

"The Greening of Trade," *Philippine Journal of Development Studies*, Vol. X, No. 3-a, 1992.

"Sustainable Development, Global Trading Rules, and the Asia Pacific Region," *Canberra Journal of Public Administration*, No. 69, May, 1992, pp. 166-172.

"Ecology, Ethics, and Immigration: A Global View," *Search* (Journal of the Australia-New Zealand Science Association), Vol. 22:4, June, 1991, pp. 124-5.

"Capital Flight From the Philippines," (co-author), *Journal of Philippine Political Economy*, Vol. 6, No. 2, 1991.

"The Green Market," *Australian Left Review*, No. 124, December, 1990.

"Green Economics," *Previews of a Changing World*, Australian Commission for the Future, Melbourne, 1990.

"Images of Asian-Pacific Futures," in Ministry of External Relations and Trade, Proceedings of the Pacific Security Symposium, Wellington, New Zealand, May, 1990.

## **Reports and Policy Briefs**

“Is Nothing Sacred? Corporate Responsibility for the Protection of Native American Sacred Sites and Landscapes,” report to Corporate Social Responsibility Program, Sacred Sites Film Project, San Francisco, California, September, 2006.

“Operation Successful—But the Patient Is Not Well: NAFTA, Foreign Direct Investment and Sustainable Development in Mexico,” (co-author with Kevin Gallagher), *Foreign Policy in Focus, Special Issue on NAFTA at Ten*, January, 2004.

“Implementing the Environmental Components of the OECD Guidelines for MNEs: Tools and Case Studies,” report to OECD Environment Directorate, June, 2004.

“Searching for the Holy Grail? Making FDI Work for Sustainable Development,” (co-author with Kevin Gallagher), Paper prepared for World Wildlife Fund-UK Workshop on International Investment Frameworks for Sustainable Development, London, April, 2003 (see <http://www.wwf-uk.org>). Also published in *Allies or Antagonists? Investment, Sustainable Development and the WTO*, Washington: Heinrich Boll Foundation, August 2003, pp. 7-29.

“Dodging Dilemmas? Environmental and Social Accountability in the Global Operations of California High Tech Companies,” (co-author), Report to the California Global Corporate Accountability Project, Nautilus Institute, May, 2002 (available online at [www.nautilus.org](http://www.nautilus.org)).

“What Road Ahead? Scenarios for the Future of US-China Relations 2001-2010,” Report of Two Workshops Held in Beijing, June and November, 2000, (co-author), Sponsored by Nautilus Institute for Security and Sustainable Development and Center for American Studies, Fudan University, Nautilus Institute, April, 2001.

“The Future of US-China Relations: Do Progressives Have a Vision?” *Foreign Policy in Focus*, May, 2000.

“Hard Issues, Innovative Approaches,” Briefing Document for Round Table on Improving NGO-Industry Dialogue on Corporate Accountability and Social Responsibility,” [www.nautilus.org/cap/reports/index.html](http://www.nautilus.org/cap/reports/index.html), 1999.

“International Investment Rules and the Environment: Stuck in the Mud” *Foreign Policy in Focus*, Vol. 4, No. 22, 1999.

“Civil Society and Clean Shared Growth in Asia: Towards a Stakeholder Model of Environmental Governance,” Report to US-Asia Environmental Partnership, presented at The Outlook for Environmentally Sound Development Policies Workshop, U.S.-AEP and the Asian Development Bank, Manila, Philippines, August 2-3, 1999

“Trade, Environment and APEC,” *Policy Brief*, Asia Foundation, San Francisco, April, 1994.

*Trade-Environment Linkages and Sustainable Development*, Report to Australian Department of Environment, September, 1991.